



THE UNITED STATES PATENT AND TRADEMARK OFFICE

2757
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In re Patent Application of:
Yuri Breitbart
Radek Vingralek

Application No.: 09/328,657

Filed: June 9, 1999

For: COMPUTER IMPLEMENTED
METHOD AND APPARATUS
FOR MANAGING FILES
IN A NETWORK

GAU: 2756

Examiner: Unassigned GROUP 2700

INFORMATION DISCLOSURE
STATEMENT

2600 Aramark Tower
1101 Market Street
Philadelphia, PA 19107

SEP 13 1999

The Assistant Commissioner of
Patents and Trademarks
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being
deposited with the United States Postal Service as
First Class Mail in an envelope addressed to: The
Assistant Commissioner for Patents, Washington,
D.C. 20231, on September 7, 1999.

Margaret A. Lawrence
Margaret A. Lawrence

Sir:

Applicant submits herewith patents, publications or other
information listed on the attached Form PTO-1449 (modified) of
which he is aware, which he believes may be material to the
examination of this application and in respect of which there
may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) [] accompanies the new patent application submitted
herewith. 37 CFR § 1.97(a).
- (b) [✓] is filed within three months after the filing date
of the application or within three months after
the date of entry of the national stage of a PCT
application as set forth in 37 CFR § 1.491.
- (c) [] as far as is known to the undersigned, is filed
before the mailing date of a first Office action
on the merits.
- (d) [] is filed after the first office action and more
than three months after the application's filing
date or PCT national stage date of entry filing
but, as far as is known to the undersigned, prior
to the mailing date of either a final rejection or
a notice of allowance, whichever occurs first, and
is accompanied by either the fee (\$230) set forth

in 37 CFR § 1.17(p) or a certification as specified in 37 CFR § 1.97(e), as checked below.

- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

- (h) ☒ each (i) ☐ none (j) ☐ only those listed below:

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO-1449 (Modified) are not supplied because they were previously cited by or submitted to the Office in a prior application Serial No. _____, filed _____ and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items **RE** **ED**
form PTO-1449 (Modified) is:

(k) ☒ not given

SEP 13 1997

(l) ☐ given for each listed item

GROUP 2700

(m) ☐ given for only non-English language listed item(s)
[Required]

(n) ☐ is in the form of an English language copy of a
Search Report from a foreign patent office, issued
in a counterpart application, which refers to the
relevant portions of the references [copy
attached].

REMARKS REGARDING CITED ART

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

[X] The Commissioner is hereby authorized to charge our
Deposit Account No. 12-2325 for any fees required in
connection with the filing of this Information
Disclosure Statement. A duplicate copy of this Notice

is enclosed for this purpose. In particular, in the event that an Office Action has crossed in the mail with this Information Disclosure Statement, the Commissioner is authorized to charge the above-named deposit account for any fees required pursuant to CFR §§ 1.17(p) or 1.17(i)(1).

Respectfully submitted,

Dated:

September 7, 1999

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